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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/862,978	05/22/2001	Rani Johnson	2102918-991000

CONFIRMATION NO. 9820

FORMALITIES LETTER



OC000000006323397

Gerald T. Sekimura
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139 Townsend Street, Suite 400
San Francisco, CA 94107-1922

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

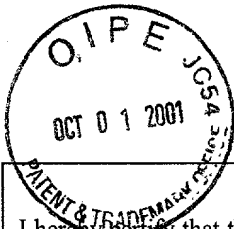


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09862978-100101



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as FIRST CLASS MAIL in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on September 20, 2001.

Ta. Moore

(Ta-Tanisha L. Moore)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rani Johnson, et al.

Serial No: 09/862,978

Group Art Unit: 2152

Filed: May 22, 2001

Examiner: Unknown

Title: SYSTEM AND METHOD FOR NETWORK-BASED AUTOMATION OF ADVICE
AND SELECTION OF OBJECTS

* * *

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF UTILITY PATENT APPLICATION**

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Utility Patent Application" mailed by the United States Patent and Trademark Office on July 20, 2001. The following documents are enclosed to complete the filing of the above-referenced patent application:

1. Copy of Notice to File Missing Parts (1 pg.)
2. Executed Declaration and Power of Attorney (4 pgs.)
3. Two (2) Assignments for Recordation (3 pages each)
4. Two (2) checks totaling the amount of \$145 (\$65 for missing parts surcharge, and \$80 for recording the two assignment documents)
5. Substitute specification (76 pages) in compliance with 37 CFR 1.52

6. Substitute drawings (25 sheets) in compliance with 37 CFR 1.84
7. Return Postcard

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896.

Respectfully submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: Sept. 20, 2001

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